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UNKNOTTING NUMBER ONE KNOTS ARE PRIME: A NEW PROOF

XINGRU ZHANG

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ABSTRACT. An alternative proof for unknotting number one knots being prime is given.

The unknotting number of a knot $K \subset S^3$, denoted by $u(K)$, is the minimum number of crossing changes required to unknot K . Obviously $u(K)$ is a knot invariant, but surprisingly little is known about it. The following theorem of M. Scharlemann proves a long standing conjecture.

Theorem [S, Theorem]. *A knot $K \subset S^3$ with $u(K) = 1$ is prime.*

To prove the above theorem, Scharlemann developed certain combinatorics dealing with planar graphs coming from an intersection of two special planar surfaces. Later in [ST], Scharlemann and Thompson gave another proof of the theorem [ST, Corollary 3.4], that is based on a delicate application of the sutured manifold structure theory. In this note we point out a new proof, applying only some existing results. In fact the proof follows immediately from the following three known lemmas.

Lemma 1 [L, Lemma 1]. *Let K be a knot in S^3 with $u(K) = 1$, and let M_K be the double cover of S^3 branched over K . Then M_K can be obtained by $n/2$ -surgery on some knot in S^3 , n being an odd integer.*

Lemma 2 [GL, Theorem 1]. *Let K be a knot in S^3 , and let $K(m/l)$ denote the manifold obtained by m/l -surgery on K . Then $K(m/l)$ is a prime manifold if $|l| \neq 1$.*

Lemma 3 [KT, Corollary 4]. *Let K be a knot in S^3 . Then the double cover M_K of S^3 branched over K is a prime manifold iff K is a prime knot.*

Proof of Theorem. Since $u(K) = 1$, M_K is a prime manifold by Lemmas 1 and 2. Hence K is a prime knot by Lemma 3.

The author has learned that a similar approach was pointed out by C. Gordon in a lecture given at Santa Barbara.

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