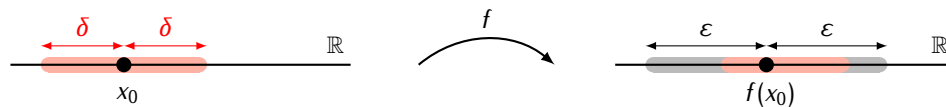


## 2 | Metric Spaces

Recall that a function  $f: \mathbb{R} \rightarrow \mathbb{R}$  is *continuous at a point*  $x_0 \in \mathbb{R}$  if for each  $\varepsilon > 0$  there exists  $\delta > 0$  such that if  $|x_0 - x| < \delta$  then  $|f(x_0) - f(x)| < \varepsilon$ :

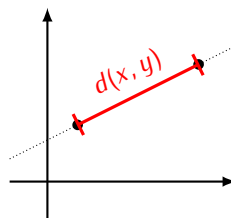


A function is *continuous* if it is continuous at every point  $x_0 \in \mathbb{R}$ .

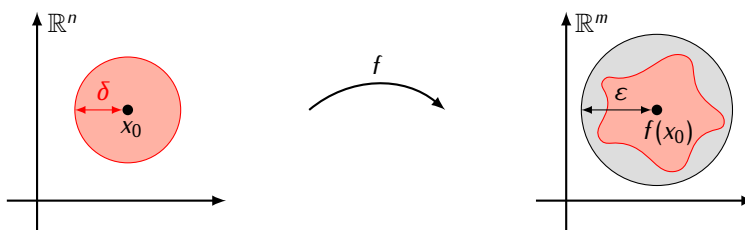
Continuity of functions of several variables  $f: \mathbb{R}^n \rightarrow \mathbb{R}^m$  is defined in a similar way. Recall that  $\mathbb{R}^n := \{(x_1, \dots, x_n) \mid x_i \in \mathbb{R}\}$ . If  $x = (x_1, \dots, x_n)$  and  $y = (y_1, \dots, y_n)$  are two points in  $\mathbb{R}^n$  then the distance between  $x$  and  $y$  is given by

$$d(x, y) = \sqrt{(x_1 - y_1)^2 + \dots + (x_n - y_n)^2}$$

The number  $d(x, y)$  is the length of the straight line segment joining the points  $x$  and  $y$ :



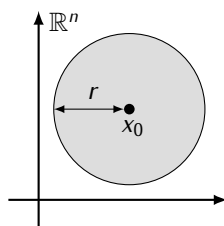
**2.1 Definition.** A function  $f: \mathbb{R}^n \rightarrow \mathbb{R}^m$  is *continuous at*  $x_0 \in \mathbb{R}^n$  if for each  $\varepsilon > 0$  there exists  $\delta > 0$  such that if  $d(x_0, x) < \delta$  then  $d(f(x_0), f(x)) < \varepsilon$ .



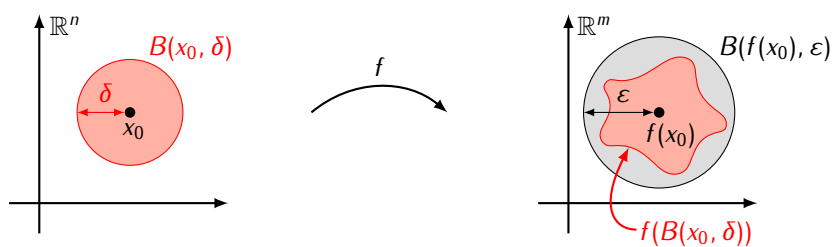
The above picture motivates the following, more geometric reformulation of continuity:

**2.2 Definition.** Let  $x_0 \in \mathbb{R}^n$  and let  $r > 0$ . An *open ball* with radius  $r$  and with center at  $x_0$  is the set

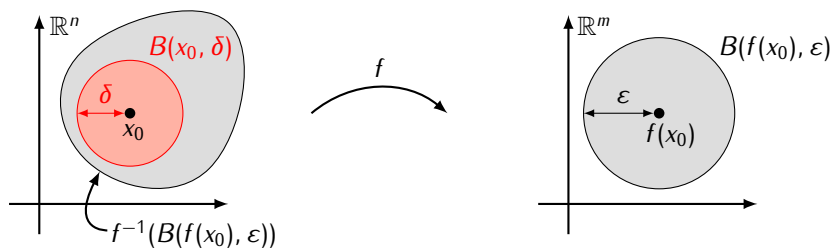
$$B(x_0, r) = \{x \in \mathbb{R}^n \mid d(x_0, x) < r\}$$



Using this terminology we can say that a function  $f: \mathbb{R}^n \rightarrow \mathbb{R}^m$  is continuous at  $x_0$  if for each  $\epsilon > 0$  there is a  $\delta > 0$  such  $f(B(x_0, \delta)) \subseteq B(f(x_0), \epsilon)$ :



Here is one more way of rephrasing the definition of continuity:  $f: \mathbb{R}^n \rightarrow \mathbb{R}^m$  is continuous at  $x_0$  if for each  $\epsilon > 0$  there exists  $\delta > 0$  such that  $B(x_0, \delta) \subseteq f^{-1}(B(f(x_0), \epsilon))$ :



Notice that in order to define continuity of functions  $\mathbb{R}^n \rightarrow \mathbb{R}^m$  we used only the fact that for any two points in  $\mathbb{R}^n$  or  $\mathbb{R}^m$  we can compute the distance between these points. This suggests that we could define similarly what it means that a function  $f: X \rightarrow Y$  is continuous where  $X$  and  $Y$  are any sets, provided that we have some way of measuring distances between points in these sets. This observation leads to the notion of a metric space:

**2.3 Definition.** A *metric space* is a pair  $(X, \varrho)$  where  $X$  is a set and  $\varrho$  is a function

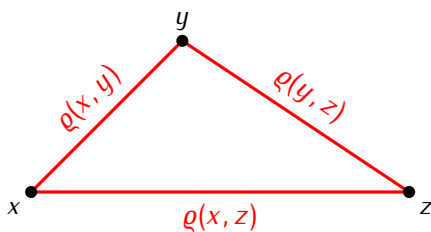
$$\varrho: X \times X \rightarrow \mathbb{R}$$

that satisfies the following conditions:

- 1)  $\varrho(x, y) \geq 0$  and  $\varrho(x, y) = 0$  if and only if  $x = y$ ;
- 2)  $\varrho(x, y) = \varrho(y, x)$ ;
- 3) for any  $x, y, z \in X$  we have  $\varrho(x, z) \leq \varrho(x, y) + \varrho(y, z)$ .

The function  $\varrho$  is called a *metric* on the set  $X$ . For  $x, y \in X$  the number  $\varrho(x, y)$  is called the *distance* between  $x$  and  $y$ .

The first condition in Definition 2.3 says that distances between points of  $X$  are non-negative, and that the only point located within the distance zero from a point  $x$  is the point  $x$  itself. The second condition says that the distance from  $x$  to  $y$  is the same as the distance from  $y$  to  $x$ . The third condition is called the *triangle inequality*. It says that the distance between points  $x$  and  $z$  measured directly will never be bigger than the number we obtain by taking the distance from  $x$  to some intermediary point  $y$  and adding it to the distance between  $y$  and  $z$ :



We define continuity of functions between metric spaces the same way as for functions between  $\mathbb{R}^n$  and  $\mathbb{R}^m$ :

**2.4 Definition.** Let  $(X, \varrho)$  and  $(Y, \mu)$  be metric spaces. A function  $f: X \rightarrow Y$  is *continuous at*  $x_0 \in X$  if for each  $\varepsilon > 0$  there exists  $\delta > 0$  such that if  $\varrho(x_0, x) < \delta$  then  $\mu(f(x_0), f(x)) < \varepsilon$ .

A function  $f: X \rightarrow Y$  is *continuous* if it is continuous at every point  $x_0 \in X$ .

We can reformulate this definition in terms of open balls:

**2.5 Definition.** Let  $(X, \rho)$  be a metric space. For  $x_0 \in X$  and let  $r > 0$  the *open ball* with radius  $r$  and with center at  $x_0$  is the set

$$B_\rho(x_0, r) = \{x \in X \mid \rho(x_0, x) < r\}$$

We will often write  $B(x_0, r)$  instead of  $B_\rho(x_0, r)$  when it will be clear from the context which metric is being used.

Notice that a function  $f: X \rightarrow Y$  between metric spaces  $(X, \rho)$  and  $(Y, \mu)$  is continuous at  $x_0 \in X$  if and only if for each  $\varepsilon > 0$  there exists  $\delta > 0$  such that  $B_\rho(x_0, \delta) \subseteq f^{-1}(B_\mu(f(x_0), \varepsilon))$ .

Here are some examples of metric spaces:

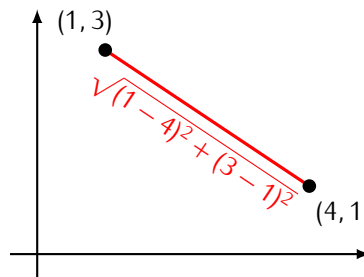
**2.6 Example.** Let  $X = \mathbb{R}^n$ . For  $x = (x_1, \dots, x_n)$ ,  $y = (y_1, \dots, y_n)$  define:

$$d(x, y) = \sqrt{(x_1 - y_1)^2 + \dots + (x_n - y_n)^2}$$

The metric  $d$  is called the *Euclidean metric* on  $\mathbb{R}^n$ .

For example, if  $x = (1, 3)$  and  $y = (4, 1)$  are points in  $\mathbb{R}^2$  then

$$d(x, y) = \sqrt{(1 - 4)^2 + (3 - 1)^2} = \sqrt{13}$$



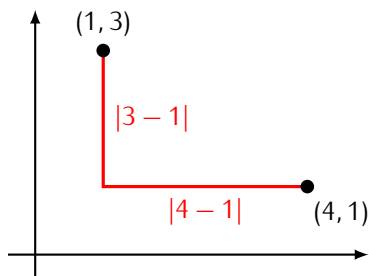
**2.7 Example.** Let  $X = \mathbb{R}^n$ . For  $x = (x_1, \dots, x_n)$ ,  $y = (y_1, \dots, y_n)$  define:

$$\rho_{ort}(x, y) = |x_1 - y_1| + \dots + |x_n - y_n|$$

The metric  $\rho_{ort}$  is called the *orthogonal metric* on  $\mathbb{R}^n$ .

For example, if  $x = (1, 3)$  and  $y = (4, 1)$  are points in  $\mathbb{R}^2$  then

$$\rho_{ort}(x, y) = |1 - 4| + |3 - 1| = 5$$



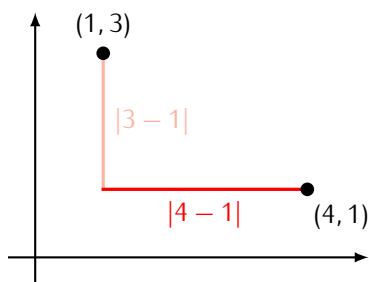
**2.8 Example.** Let  $X = \mathbb{R}^n$ . For  $x = (x_1, \dots, x_n)$ ,  $y = (y_1, \dots, y_n)$  define:

$$q_{max}(x, y) = \max\{|x_1 - y_1|, \dots, |x_n - y_n|\}$$

The metric  $q_{max}$  is called the *maximum metric* on  $\mathbb{R}^n$ .

For example, if  $x = (1, 3)$  and  $y = (4, 1)$  are points in  $\mathbb{R}^2$  then

$$q_{max}(x, y) = \max\{|1 - 4|, |3 - 1|\} = 3$$



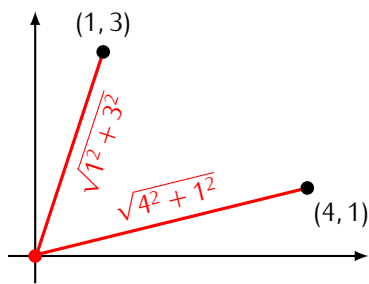
**2.9 Example.** Let  $X = \mathbb{R}^n$ . For  $x = (x_1, \dots, x_n)$ ,  $y = (y_1, \dots, y_n)$  define  $q_h(x, y)$  as follows. If  $x = y$  then  $q_h(x, y) = 0$ . If  $x \neq y$  then

$$q_h(x, y) = \sqrt{x_1^2 + \dots + x_n^2} + \sqrt{y_1^2 + \dots + y_n^2}$$

The metric  $q_h$  is called the *hub metric* on  $\mathbb{R}^n$ .

For example, if  $x = (1, 3)$  and  $y = (4, 1)$  are points in  $\mathbb{R}^2$  then

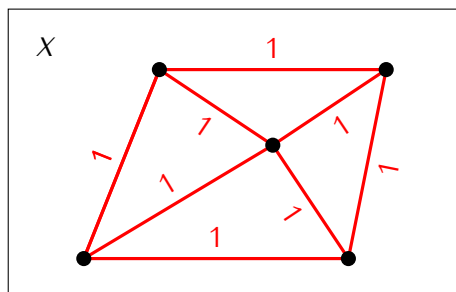
$$q_h(x, y) = \sqrt{1^2 + 3^2} + \sqrt{4^2 + 1^2} = \sqrt{10} + \sqrt{17}$$



2.10 Example. Let  $X$  be any set. Define a metric  $q_{disc}$  on  $X$  by

$$q_{disc}(x, y) = \begin{cases} 1 & \text{if } x \neq y \\ 0 & \text{if } x = y \end{cases}$$

The metric  $q_{disc}$  is called the *discrete metric* on  $X$ .



2.11 Example. If  $(X, q)$  is a metric space and  $A \subseteq X$  then  $A$  is a metric space with the metric induced from  $X$ .

## Exercises to Chapter 2

E2.1 Exercise. Verify the  $q_{max}$  is a metric on  $\mathbb{R}^n$ .

E2.2 Exercise. For points  $x = (x_1, \dots, x_n)$ ,  $y = (y_1, \dots, y_n)$  in  $\mathbb{R}^n$  define

$$q_{min}(x, y) = \min\{|x_1 - y_1|, \dots, |x_n - y_n|\}$$

Does this define a metric on  $\mathbb{R}^n$ ? Justify your answer.

E2.3 Exercise. Let  $\mathbb{Z}$  be a set of all integers, and let  $p$  be some fixed prime number. For  $m, n \in \mathbb{Z}$  define

$$q_p(m, n) := \begin{cases} 0 & \text{if } m = n \\ p^{-k} & \text{if } m - n = p^k r \text{ where } r \in \mathbb{Z}, p \nmid r \end{cases}$$

Verify that  $q_p$  is a metric on  $\mathbb{Z}$ . It is called the *p-adic metric*.

E2.4 Exercise. Let  $S$  be a set and let  $\mathcal{F}(S)$  denote the set of all non-empty finite subsets of  $S$ . For  $A, B \in \mathcal{F}(S)$  define

$$q(A, B) = 1 - \frac{|A \cap B|}{|A \cup B|}$$

where  $|A|$  denotes the number of elements of the set  $A$ . Show that  $q$  is a metric on  $\mathcal{F}(S)$ .

**E2.5 Exercise.** Draw the following open balls in  $\mathbb{R}^2$  defined by the specified metrics:

- $B(x_0, 1)$  for  $x_0 = (0, 0)$  and the orthogonal metric  $\varrho_{ort}$ .
- $B(x_0, 1)$  for  $x_0 = (0, 0)$  and the maximum metric  $\varrho_{max}$ .
- $B(x_0, 1)$  for  $x_0 = (0, 0)$  and the hub metric  $\varrho_h$ .
- $B(x_0, 6)$  for  $x_0 = (3, 4)$  and the hub metric  $\varrho_h$ .
- $B(x_0, 1)$  for  $x_0 = (3, 4)$  and the hub metric  $\varrho_h$ .

**E2.6 Exercise.** Let  $(X, \varrho)$  be a metric space, and let  $x_0 \in X$ , Show that if  $x \in B(x_0, r)$  then exists  $s > 0$  such that  $B(x, s) \subseteq B(x_0, r)$ .

**E2.7 Exercise.** a) Let  $(X, \varrho)$  be a metric space and let  $B(x, r), B(y, s)$  be open balls in  $X$  such that  $B(y, s) \subseteq B(x, r)$  but  $B(y, s) \neq B(x, r)$ . Show that  $s < 2r$ .

b) Give an example of a metric space  $(X, \varrho)$  and open balls  $B(x, r), B(y, s)$  in  $X$  that satisfy the assumptions of part a) and such that  $s > r$ .

**E2.8 Exercise.** Let  $(X, \varrho_{disc})$  be a discrete metric space and let  $(Y, \mu)$  be some metric space. Show that every function  $f: X \rightarrow Y$  is continuous.

**E2.9 Exercise.** Consider  $\mathbb{R}^2$  as a metric space with the hub metric  $\varrho_h$  and  $\mathbb{R}^1$  as a metric space with the Euclidean metric  $d$ .

a) Show that the function  $f: \mathbb{R}^2 \rightarrow \mathbb{R}^1$  given by

$$f(x_1, x_2) = \begin{cases} 0 & \text{if } (x_1, x_2) = (0, 0) \\ 1 & \text{otherwise} \end{cases}$$

is not continuous.

b) Show that the function  $g: \mathbb{R}^2 \rightarrow \mathbb{R}^1$  given by

$$g(x_1, x_2) = \begin{cases} 0 & \text{if } x_1^2 + x_2^2 < 1 \\ 1 & \text{otherwise} \end{cases}$$

is continuous.